

PATENT 450118-4848

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Kazue NAKAMURA

Serial No.

09/446,769

Filed

May 5, 2000

For

DATA STORAGE AND RETRIEVAL APPARATUS AND

METHOD OF THE SAME

Examiner

S. T. Channavajjala

Art Unit

2177

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APR 1 5 2002

Technology Center 2100

745 Fifth Avenue New York, NY 10151 Tel: 212-588-0800

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Transmitted herewith is an amendment in the above-identified application.

No additional fee is required.

The fee has been calculated as shown below.

This is an application of a small entity under 37 CFR 1.9(f), and the amounts shown in parentheses apply.

Claims as Amended

| (1) | (2) Claims remaining after amendment | (3) | (4) Highest number previously paid for | (5) Present extra | (6) Rate | (7) Additional Fee |
|--------------------|--------------------------------------------|-------|----------------------------------------|----------------------|-------------|--------------------------|
| Total claims | 17 | Minus | ** =20 | * 0 x | \$18 (9) | = \$ 0 |
| Independent claims | 2 | Minus | ***=3 | * 0 x | \$84 (42) | = \$ 0 |
| | Total additional fee for this amendment | | | | \$ 0 | |

- If the entry in Column 2 is less than the entry in Column 4, write "0" in Column 5.
- If the highest number of total claims previously paid for is less than 20, write "20" in this space.
- *** If the highest number of independent claims previously paid for is less than 3, write "3" in this space.

| | This application contains a multiple dependent claim. The required fee of \$280(140) has been previously paid \square , or is paid herewith \square . | | | | |
|-------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|--|--|
| | This response is being field within the month following the expiration of the term originally set therefor. This is a petition to request a month extension of time. A check covering the cost of the petition is enclosed. | | | | |
| | A check in the amount of \$ is attached, which covers the cost of \[\] additional claims \[petition for extension of time. | | | | |
| | Charge \$ to Deposit Account No. 50-0320. | | | | |
| \boxtimes | Please charge any additional fees incurred by reason of this response or credit any overpayment to Deposit Account No. 50-0320. | | | | |

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Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP

Attorneys for Applicants

Dennis M. Smid

By:

Reg. No. 34,930

Tel: 212-588-0800



PATENT 450118-4848

#9 4/24/02 Den

<u>IN THE UNITED STATES PATENT AND TRADEMARK OFFICE</u>

Applicant(s)

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(Signature of person mailing paper or fee)

RESPONSE TO OFFICE ACTION

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

In response to the Office Action of January 11, 2002, please consider the

following remarks.